Therapist verbal behavior in the multidimensional system for coding behaviors in therapeutic interaction (SiMCCIT)

Denis Zamignani & Sonia Beatriz Meyer

Abstract: Therapeutic interaction has been considered one of the main factors of change in psychotherapy, and its investigation is called process research. Audio and video recording sessions are used to code behaviors which, subsequently, permit the analysis of interaction patterns. The objectives of the study were the presentation of part of a coding system called “Multidimensional System for Categorization of Behaviors in Therapeutic Interaction” and the evaluation of agreement between observers in its use. From a systematic assessment of the literature regarding the classification of vocal verbal behavior, it was found that the existing category systems were not satisfactory for the study of behavior analytic therapy, thus requiring the construction of a new system. A System for Coding Verbal Therapist Behavior was developed containing 16 categories, nine of vocal verbal behavior, three for non-vocal verbal behavior and four residual categories. A standardized training for observers was also developed. Its application to one participant produced satisfactory Kappa indexes of agreement ranging from 0.67 to 0.84. The implications of using the system for process research in behavior analytic therapy and in other therapeutic modalities in its different stages are discussed as well as the possibility of using the instrument and its training software for teaching therapeutic skills.

Keywords: research on psychotherapy process, categorization of behaviors, client-therapist interaction, behavior-analytic therapy.
Therapeutic interaction has been an object of study for researchers ranging from various theoretical approaches and fields of knowledge. Process research (Greenberg & Pinsof, 1986; Russel & Trull, 1986) aims at characterizing the interaction (verbal and non-verbal) between therapist and client in order to identify the processes of change which occur within this interaction. According to Luna (1997), the clinic is a favorable environment for the development of research. Within this setting we have access to data from verbal accounts which would rarely be gathered otherwise. In this research environment the researcher is able to keep track of the context in which such behavior occurs; furthermore, a researcher may have access to research participants who provide regular attendance for long periods of time, thus providing repeated observations of the phenomenon of interest.

One of the most frequently employed methods for achieving this goal is the direct observation of audio and/or video recordings of sessions and the classification of this data into the categories of behavior. After the categorization of the observed behavior, a cross-relational analysis amongst these categories is conducted so as to identify the possible effects that different classes of behavior concerning one member of the dyad may have over the other (Russel & Trull, 1986; Wampold, 1986).

Over the past decades, an expressive number of researchers have dedicated themselves to this kind of research (e.g., Almásy, 2004; Baptistussi, 2001; Barbosa, 2001; Barbosa, 2006; Bischoff & Tracey, 1995; Brandão, 2003; Chamberlain & Ray, 1988; Chamberlain, Patterson, Reid, Kanavagh & Forgatch, 1984; Donadone, 2004; Garcia, 2001; Hill, 1986; Hill, Corbett, Kanitz, Rios, Lightsey & Gomez, 1992; Margotto, 1998; Martins, 1999; Moreira, 2001; Novaki, 2003; Oliveira, 2002; Tourinho, Garcia, & Souza, 2003; Vermes, 2000; Yano, 2003; Zamignani & Andery, 2005).

Although several of these systems present categories which describe very similar classes of behavior, they resort to different classifications and definitions; thus, there is an incompatibility amongst such classes in virtue of the differences found amongst the elements highlighted by each researcher. Besides, studies in this field usually only investigate vocal verbal behavior. The study of vocal verbal responses in psychotherapy has been conducted since the end of the 1960's and has brought important contributions. However, it is a known fact that an important part of the therapeutic interaction is of a non-vocal nature (Mahl, 1987).

The development of behavior coding instruments (e.g., Hill, 1986; Rice & Kerr, 1986) involves some steps which must be followed so as to assure their validation and reliability. According to Rice and Kerr (1986), the first step entails the consolidation of a clinical-theoretical foundation which must lay the ground for the development of categories that are aligned with the field of study adopted by the researcher. Building such a foundation is the necessary condition to assure that the developed system presents within its categories the elements (behavior and context of occurrence) that characterize a modality of intervention (this includes both the behavior which must occur and that which must be avoided due to their inconsistency or inadequacy). According to Rice and Kerr, this step would comprise an extensive clinical observation along with an intensive analysis of audio and video recordings; in addition, it would require consulting both the literature and the clinical judgment of the therapists involved.

As per proposed by Rice and Kerr (1986), the second step comprises the specification of the elements through which the behavior included in each class can be recognized. This step would involve a precise description of these behaviors. According to
the authors, this requires that efforts be employed so that the instrument is as descriptive and unbiassed as possible. It is stated that by being as descriptive as possible, one will not only lessen the complexity of the observations but will also increase the possibilities for using the system in different fields and approaches. According to Rice and Kerr, the tangible outcome from this second stage would be a detailed observers’ training manual.

According to Danna and Matos (1999), the definition of an event within a given category must be “(1) objective, clear and accurate; (2) expressed in a direct and affirmative manner; (3) only include elements which are relevant; (4) be explicit and thorough” (p.134). Moreover, the definition must not be “circular”; in other words, the defined term cannot be used in its own definition (Marinotti, 2000). Furthermore, subjective terminology and interpreted or inferred facts should be avoided (Cunha, 1975; Fagundes, 1976/1992). The need for the system to be sensitive enough so that it can answer to all the questions must also be considered; however, such a system should not be excessively detailed as that would result on a dispersion of the results (Wampold, 1986; Zamignani, 2001).

In order to keep coherence and internal validity within the system of categories, some criteria must be followed: (a) the devised categories must be exhaustive and mutually excluding; (b) all observed and registered behavior must be classified, regardless of the number of events that are categorized in each class; (c) the categories must cohere with the criteria chosen for the classification as well as with the level of specificity adopted for the classes of events (Danna & Matos, 1999). Rice and Kerr (1986) also suggest that this second stage be closely related to the third, which involves achieving an adequate level of agreement amongst observers, given that the researcher needs to move back and forth between these two steps.

According to Rice and Kerr (1986), the fourth step while devising an instrument concerns the establishment of the system’s predictive validity – which comprises the verification of the instrument’s sensitivity to patterns of interaction that are related to either the success or failure of the therapeutic intervention. This is a rather complex task and would involve applying the instrument on the investigation of a broad set of therapeutic interactions, so that the data may be related to the results.

According to Rice and Kerr (1986), the last step in developing an observation instrument consists in establishing the construct validity of its categories. Construct validity comprises the evidence which indicates how well the data obtained through an instrument reflects a particular construct (Kazdin, 2002). Whenever direct evidence cannot be obtained, circumstantial evidence of the construct is gathered as a means of providing support to the assumption that the measure reflects the construct which is being researched (Cronbach & Meehl, 1955; Suen & Ary, 1989).

An important consideration to be highlighted is that the categorization of events represents (as it should represent) only one step of the research. Such step is accountable for the initial mapping of “generic” processes which occur within the therapeutic interaction. Whenever the categorization of events is not associated to any other type of measure, what it offers at best is a description of the ongoing interaction; however, such a description allows neither the identification of control variables nor the inference of generalizations regarding the phenomenon which is being researched. Due to advances in psychotherapy process research over the past decades we currently have tools which enable the evaluation of the most varied aspects of interaction at our disposal. The association of descriptive categories with other instruments – of outcome measurement (Rice & Kerr, 1986) and session impact (Stiles, 1980), amongst others – may provide much more valuable information regarding the interaction which is being researched.

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1 The term exhaustive refers to the “condition that each and every relevant behavior is included in one of the categories” (Marinotti, 2000, p. 7) within the system; in other words, the system must contain as many categories as necessary in order to include the classes of behaviors of interest, and must contain a residual category to account for the classes of behavior which aren’t contemplated by the developed categories (Marinotti, 2000).

2 The term construct refers to the characteristics to be studied or detected through an instrument, while measures are means through which these constructs are operationalized (Kazdin, 2002).
On a search for elements concerning the study of behavior analytic therapy, Zamignani (2007) carried out a systematic evaluation of the literature concerning the categorization of verbal events in therapeutic interaction. On his research, the author analyzed seven behavior coding systems: (a) Therapy Process Code (Chamberlain & Ray 1988); (b) Therapist Behavior Code (Ford, 1978); (c) Hill Counselor Verbal Response Modes (Hill, 1978); (d) Category System for Coding Interaction in Psychotherapy (Schindler, Hohenberger-Sieber & Hahlweg, 1989); (e) Verbal Response Modes Coding System (Stiles, 1992); (f) Categories concerning the Basic Functions of the Therapists’ Verbalizations (Tourinho, Garcia & Souza, 2003) and the (g) Categories for Registering Therapist Behavior (Zamignani, 2001).

The criteria developed by Zamignani (2007) for systematic analyses of instruments are the following: (a) Categories and definitions: this criterion included a clear category definition for each system via either directly observable events or events which required a minimal level of inference. Thus, consistency between the description and the designation of categories is a prominent feature; (b) Overall coherence: This criterion refers to the internal coherence of the system, especially regarding the nature (topographic and/or functional) of the events included in each of the categories as well as the degree of specificity within the different categories; (c) Systematic training: this criterion concerns the existence or non-existence of a manual or systematic training for observers. (d) Previous application on research: this criterion takes into consideration whether the system has been formerly adopted by other researchers or in other studies within the same research group; (e) Compatibility: this criterion evaluates whether the system categories have been developed for the study of behavior analytic therapy and whether its definitions are compatible or adaptable for the study of interaction within this approach.

Zamignani (2007) concluded that none of the analyzed systems satisfactorily met all the proposed criteria. For this reason, the author reexamined the categories and definitions concerning each instrument and behavior catalog which had been previously analyzed; in addition, the author grouped them according to their similarities. A new system of categories was then developed. The analysis and reorganization of the categories of former systems served as a starting point. Moreover, both literature reviews on therapeutic interaction (e.g., Meyer & Vermes, 2001) and therapist training publications (e.g., Fiorini, 1995) were taken into consideration. Furthermore, Zamignani examined the transcripts of behavior analytic therapy sessions that were used in previous studies, thus also considering the view of clinical practitioners and experienced researchers in the field of behavior analytic therapy. This process aimed at identifying variables which had not been addressed in the former systems. The categories were then defined as a means of highlighting the typical types of interactive behavior which constitute behavior analytic therapy.

The Multidimensional System for coding Behaviors in Therapist-Client Interaction (SiMCCIT) is composed by three main coding guidelines. Each one of them represents a dimension or aspect of the participants’ behavior; namely: Verbal Behavior, Themes and Motor Responses.

This research stems from the study conducted by Zamignani (2007). It aims at presenting part of the system developed by him - the SiMCCIT - and evaluating the level of agreement between the observers while employing it. This article will focus on the therapists’ verbal categories. The complete manual for categorization and systematized training of observers can be found in the work of Zamignani (2007).

Method

Participants
- A client-therapist dyad for the recording of clinical sessions – comprising a male behavior-analytic therapist with 25 years of clinical experience and a 32 year-old female client who is pregnant and distraught over marital problems. The client has not received any previous psychiatric diagnosis.
- Three judges for calculating interobserver agreement. The judges were Psychology undergraduates with clinical training in behavior analysis and at least two years of former experience as behavior analytic therapists; in addition, they have taken part
in group research activities under the coordination of an academic tutor (who is responsible for the co-authorship of this article).

**Characteristics of the Categorization System**

In order to develop a categorization system, categories were adopted based on their grammatical form (e.g., imperative, interrogative, etc.) and their relation to immediately preceding or subsequent events. This choice was based on the literature (e.g., Danna & Matos, 1999; Marinotti, 2000) that recommends that such categorization should be grounded in directly observable behavior. However, the identification of these events takes into account not only their topography, but also the relation to their context of occurrence (a strategy which is also adopted by Hill, 1986).

A further decision was not to limit the therapeutic interaction study to a verbal-vocal dimension. Thus, the Communicative Gestures categories were added to the verbal behavior categorization system. It was considered that such gestures had close link to verbal vocal communication (Caballo, 1993), as they bear an analogous influence on the verbal vocal responses. In virtue of this choice, it was decided that the data analysis was to be carried out through video recordings as opposed to therapy session transcripts.

The system's record unit is defined as an action (a verbalization segment or non-vocal verbal response) performed by either the therapist or the client. Considering the role played by an action in the immediate context of the session, it would then become classifiable according to the current criteria of one of the categories defined here. A verbalization segment entails a fragment of the participant's speech which accounts for a functional unit - determined by any sort of change in the specific characteristics of one's speech (e.g., class, pause, theme, etc.) - indicated on the definition of each category (though still within the same participant's verbalization). Therefore, the same verbalization may contain more than one segment of speech.

After the first version of a categorization system was developed, a series of trials for the system were conducted, as per described below.

**Record of Therapy sessions through the use of a preliminary coding system.**

With the aid of the software *The Observer3*, the first author of this article (indicated here as researcher) applied the preliminary coding system to a therapeutic session which was recorded in video. The categories were reviewed, taking into account the emerging issues and difficulties encountered in this initial categorization.

Once the alterations were made, new sessions were observed and categorized by the researcher and two observers (Observers 1 and 2). These observers participated in the creation process involving the categories and had access to the written material containing the system definitions; however, no formal training for applying the system was carried out.

The method adopted for obtaining agreement between the observers was based on the sequence and duration of the episodes on the comparison between the pairs of observers (always the same researcher and one of the observers). This method, available in the software *The Observer*, identifies the intersections of time in which both observers applied the same category, regardless of the starting point for the categorized episode.

The calculation employed (at that moment) was the percentage agreement, which is represented by the following formula:

\[ \% \text{ agreement} = \frac{\text{Time of agreed events}}{\text{# agreed time} + \# disagreed time} \times 100 \]

Once the initial agreement between the researcher and each of the observers – as well as the agreement between Observers 1 and 2 – was of less than 40%, the categorization system was reviewed and perfected until the developed categories were considered as being sufficiently broad and precise. Once some sessions were categorized and the system was revised, both a new process of independent categorization and an agreement analysis

3 This is a computer-based system for behavior analysis which was developed by Noldus Information Technologies. It allows categorization to occur directly through the observation of audio and video recordings.
were carried out (this time only between the researcher and one of the observers – Observer 2). Only one therapy session (Session 11) was repeatedly categorized by both observers and the fine-tuning of the system occurred successively until a satisfactory index was reached.

On that occasion the Kappa coefficient – suggested by the literature as a more reliable agreement indicator (Suen & Ary, 1989) - was employed. The method for obtaining the index (calculated through the software *The Observer*) was based on the sequence and duration of the episodes, and resulted in a satisfactory Kappa coefficient of 0.79 (agreement percentage of 0.93), as per illustrated in Table 1. The discordances were reexamined and other adjustments were made on the definitions.

Table 1

<table>
<thead>
<tr>
<th>Measure</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duration (seconds) of agreements</td>
<td>2907.64</td>
</tr>
<tr>
<td>Duration (seconds) of disagreements</td>
<td>204.16</td>
</tr>
<tr>
<td>Percentage of agreement</td>
<td>93.44</td>
</tr>
<tr>
<td>Index of agreement</td>
<td>0.93</td>
</tr>
<tr>
<td>Kappa coefficient</td>
<td>0.79</td>
</tr>
</tbody>
</table>

Next, one session that still had not been categorized by both (Session 17) was then categorized independently by the same observers (researcher and Observer 2), who employed the same calculation method mentioned above. Again, there was no formal training for this step. The Kappa coefficient obtained was of 0.67 (agreement percentage of 0.89), which is still considered satisfactory, as per illustrated on Table 2. New adjustments were made based on the disagreements that were obtained, giving rise to a final version of the categorization system.

Table 2

<table>
<thead>
<tr>
<th>Measure</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duration (seconds) of agreements</td>
<td>2697.79</td>
</tr>
<tr>
<td>Duration (seconds) of disagreements</td>
<td>343.97</td>
</tr>
<tr>
<td>Percentage of agreement</td>
<td>88.69</td>
</tr>
<tr>
<td>Index of agreement</td>
<td>0.89</td>
</tr>
<tr>
<td>Kappa coefficient</td>
<td>0.67</td>
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</tbody>
</table>

Final version of the SiMCCIT’s verbal behavior categories

After the agreement studies were carried out, a final version of SiMCCIT was proposed and a systematized training for observers was developed. The methodology chosen in order to develop such training was compatible with the behavior analytic proposals for individualized teaching (Holland & Skinner, 1969/1975; Skinner, 1968/1972). A condition of training in which the observer could emit multiple choice answers and be introduced to the categories simultaneously was kept in mind. This training condition corresponds to the notion of active learning, in which an individual’s answer to an instruction is followed by immediate consequences (Skinner, 1968/1972). In this format the level of difficulty regarding the presented content rises gradually.

The training contains two packages of sequential activities: 433 activities divided into 15 series for training of categories concerning the therapist and 265 activities divided into nine series for categories concerning the client[^4]. The activities were developed through a software named *Clic® 3.0*[^5].

[^4]: The software used for training observers on this research is available on a mini DVD attached to Zamignani’s (2007) thesis. Only the training concerning the therapist’s categories will be approached in this article.

[^5]: Clic® 3.0 is a free software. It contains a set of applications which allows creating various types of multimedia educational activities. This software was developed by the Department of Educación de la Generalitat de Cataluña and can be obtained at [http://clic.xtec.cat/](http://clic.xtec.cat/).
The definitions and specifications for each category are presented in subdivided segments throughout the training. Each screen of the training is composed by a segment of a category’s definition, as per illustrated by Figure 1.

After reading a segment of definition, the observer must click with the mouse on the instruction screen. This will allow access to an exercise which corresponds to the part of the category which is being instructed. In this exercise, the observer is introduced to a choice between two excerpts of the session transcription and must select the one which corresponds to the definition being presented, as per illustrated on Figure 2. The transcription excerpt used for the comparison with the right excerpt is either clearly different from the category in question or refers to yet another category which has been previously presented during the training sequence.

If there is mistake during the exercise, the chosen slot becomes red and the exercise screen remains open. If the answer is correct, the cursor symbol changes indicating a correct answer and the screen automatically shifts to the next instruction segment. At the end of each series (a series comprises the full introduction to a category) the observer is introduced to some additional exercises (for which the answers are more difficult to choose between) through the introduction of fragments of interaction that involve a higher level of similarity between the categories. In addition, the observer is also introduced to some comparison exercises through snippets of sessions recorded in video (these snippets represent fictitious session episodes and were filmed by actors for this sole purpose), as per illustrated on Figure 3.

At the end of the introduction to all the verbal categories and qualifiers, a series of exercises is presented in which the observer must select amongst all of the categories available the one that best represents the excerpt of the transcription or video snippet presented, as per illustrated in Figure 4. The final series of the training presents the instructions and criteria for the insertion of categories on the software The Observer®.

After the development of the observers’ training, a new observer (Observer 3 – who had not taken part in the previous process of development and evaluation of the categories) was submitted to it. The training software was installed in a notebook;
Figure 2 – Example of the training screen in which an activity for identifying the category Information Request is presented.

Figure 3 – Example of the training screen in which an activity for identifying the category Information Request (through fictional video snippets of a therapy session) is presented.
thus, the observer underwent the training in his own residence. After the standardized training, Observer 3 was guided on how to operate the software *The Observer*. At that time, he was not presented with any further instructions regarding the actual categorization system. Observer 3 categorized the therapist’s verbal answers through a thirty-minute excerpt of a therapeutic session (Session 17). An agreement calculation was then carried out between this observer’s and the researcher’s categorization.

The Results section presents the following: the data obtained through preliminary agreement tests (between the researcher and Observers 1 and 2), the final version of the developed instrument, the standardized observers’ training and the data pertaining the agreement between the researcher and Observer 3, which was obtained through the training.

![Figure 4](image-url)

**Figure 4** – Example of screen presenting the final exercises for the therapist’s training.

**Results**

The system is composed of 16 exhaustive and mutually exclusive categories, nine which include *verbal vocal responses*, four which include *communicative gestures* (motor responses for which the function is analogous to verbal vocal responses) and three which consist of residual categories.

Each category is organized around the following elements: (a) *Category Name*: a label which describes in few words what is essential to a category; (b) *Acronym*: two or three letters through which a category can be identified; (c) *Shortened name of a category*; (d) *Definition*: a detailed description of the variables – with suggestions of subcategories and examples of excerpts of the related therapeutic interactions - which must control the researcher while he/she identifies the segment of interaction in a given category; (e) *General categorization of the category*: a synthesis of the elements which constitute a category (with comments to the observer regarding the typical context in which the class verbalizations occur); (f) *inclusion or exclusion criteria*: criteria through which a category is differentiated from others that describe similar phenomena. Table 3 presents all 16 system categories.

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6 In this article, the inclusion and exclusion criteria will not be presented; what’s more, the category definitions are presented in a shortened version. The full version of the categories can be found in Zamignani (2007).
### Table 3

Categories concerning the Therapist’s Verbal Vocal and Non-Vocal Behavior, in SiMCCIT

T = Therapist  C = Client

<table>
<thead>
<tr>
<th>Name of category (shortened)</th>
<th>Summarized Description</th>
<th>Components</th>
<th>Examples</th>
</tr>
</thead>
</table>
| Therapist requests information (INFORMATION REQUEST) | Therapist’s verbalizations in which he/she requests the client’s description of actions, events, feelings or thoughts. It typically occurs in situations related to the data collection and the gathering of information throughout whichever step of the clinical process. | - Information request about facts.  
- Report request regarding the client's covert responses. | - T: Tell me… Why are you seeking therapy?  
- T: When did this begin?  
- T: Can you remember a typical example in which this happened?  
- T: At which moments have you been feeling this way?  
- T: What did you feel like doing then?  
- T: What were you thinking before you performed the ritual? |
| Therapist facilitates client’s account (FACILITATION) | Short verbalizations or paralinguistic expressions which occur during the client’s speech. These verbalizations typically indicate attention to the client’s account and suggest its continuity. | - Minimal verbal encouragers  
- Paralinguistic expressions | - T: I know  
- T: uh-huh |
| Therapist demonstrates empathy (EMPATHY) | Therapist’s verbalizations which suggest welcoming, acceptance, care, understanding and the validation of the client’s feelings or experience. Unlike the Approval category - which refers to an evaluation of actions or specific client characteristics — Empathy has an unspecific character. It essentially informs the client that he is accepted, “welcomed”, and does not imply evaluation or judgment. This class of verbalization has been associated with fostering a friendly atmosphere – safe and non-punitive – so that the client feels comfortable to verbalize events that depending upon the context would otherwise be a target for punitive responses. | - Nomination and inference of feelings  
- Normalization and validation of feelings  
- Exclamations and expressions of interest  
- Verbalizations of thoughtfulness  
- Self-revelations about similar experiences  
- Validation of client’s discordances or criticism  
- Humor  
- Paraphrasing  
- Sympathetic comments | - T: I can imagine this makes you feel quite anxious  
- T: this has been a crucial moment in your life, right?  
- T: With all that’s been happening it would be odd if you had been feeling calm, wouldn’t it? I believe it is only natural that you have been feeling anxious  
- C: I’ve been talking to P. every day on the phone. I met him in person - T: Look at that  
- T: How are you? Last week you had a terrible cold.  
- T: You know… I’ve been through that myself… It is very upsetting when you invest all of your energy on a business and it does not work… I can imagine how frustrated you must be feeling  
- T: I really would like to have helped you change this situation. I asked myself what could have been different, but that was in fact not possible and I myself also feel frustrated about it  
- C: Yeah, but I didn’t kiss him when he asked me to. I said: “No way, I’m driving, look at the traffic! But I didn’t even feel the time passing - T: the more traffic the better, you get to spend more time with him. [Laughter]  
- T: I don’t know what your decision will be on this matter, but no matter what, I’m on your side  
- T: And you lost your job and it’s been very difficult finding another one. [Summarizing the client’s description]  
- C: She didn’t ever put mine on, went on to fetch one of her own, yellow, like this one - T: Everybody helping! |
### Therapist provides information (INFORMATION)

Verbalizations in which the therapist reports events or informs the client of events (that do not concern the clients’ or a third party’s behavior) while either establishing or not causal or explanatory relations amongst them. This class of verbalizations is typically associated with “psycho-educational” interventions as well as with the therapeutic “frame” or contract.

- Factual Information.
- Explanations.
- Description of regularity or recurrent patterns.
- Theoretical and experimental explanations.
- Information regarding the therapy contract.
- Description of strategy, procedures or therapy program.
- Justification for interventions.

### Therapist requests reflection (REFLECTION REQUEST)

Verbalizations in which the therapist requests that the client should present qualifications, explanations, interpretations, analysis or predictions regarding any type of event. It is different from the Information Request – in which the therapist requests that the client reports only the occurrence of events, feelings or thoughts – as here the therapist requests that the client should establish relations between the events being discussed. In behavior analytic sessions, this class of verbalization typically occurs when the therapist seeks to facilitate the establishment of functional relations and the development of self-rules.

- Analysis request.
- Evaluation request.
- Prediction request.
- Observation request.

### Therapist recommends or requests that actions, tasks or techniques are carried out (RECOMMENDATION)

Suggestion of alternative courses of action or request for client to engage in actions or tasks. Recommendation comprises the verbalizations in which the therapist suggests alternative courses of action for the client or requests that the client be engaged in actions or tasks. Must be applied when the therapist specifies the response to be emitted (or not) by the client. Literature also refers to this class of behavior as counseling, orientation, command, and order.

- Counseling.
- Modeling.
- Incentive.
- Activity structuring.
- Permission, prohibition or request for stopping.

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**T:** I would rather that you didn’t put your feet on the table.

**C:** I will put my feet on the floor.

**T:** During the session, we are going to bring to the session something which you fear and you shall remain in contact with it without performing the ritual.

**T:** During the session, we are going to bring to the session something which you fear and you shall remain in contact with it without performing the ritual.

**T:** I would like you to bear in mind the things we talked about today regarding the benefits you obtain when you comply to your family in this way.

**T:** Do you have a hypothesis as to why this happened?

**C:** I think it’s because he’s not used to it.

**T:** Do you consider the way he behaved to be appropriate?

**C:** I don’t think so.

**T:** Taking into account the recent events, can you imagine what his next attempt is going to be like?

**T:** How did you feel about his reaction?

**C:** I was afraid.

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**C:** I think it’s because he’s not used to it.

**T:** How did you feel about his reaction?

**C:** I was afraid.

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**T:** A panic attack may occur regardless of someone having panic syndrome.

**T:** We are going to meet twice a week.

**T:** We are going to talk about your last week first, and then we’ll talk about your situation a home a bit more.

**T:** The session fee is $$. 

**T:** During the presentation, we are going to bring to the session something which you fear and you shall remain in contact with it without performing the ritual.

**T:** I don’t like this type of procedure, but it is the only one that will help you at this time.

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**T:** I don’t like this type of procedure, but it is the only one that will help you at this time.
Therapist interprets (INTERPRETATION)

Verbalization in which the therapist describes, assumes, or infers causal relations and/or explanations (functional, correlational or contiguous) regarding the client’s behavior and the behavior of others around him; in addition, the therapist identifies patterns of interactions concerning the client and others around him. According to the behavior analytic clinical literature, the analysis of contingencies or the functional analysis rendered by the therapist partly involves this class of verbalizations.

(*) This criterion differentiates this category from the Information category, which contains explanations regarding events that do not refer to the client’s behavior or the behavior of those around him.

- Description of explanatory relations between actions (regarding the client and/or a third party) and other events.
- Description of regularities or recurrent patterns between actions (regarding the client and/or a third party) and other events or actions.
- Diagnosis.
- Standardized evaluation feedback.
- Establishing Syntheses.
- Metaphors of explanatory analogies.
- Inferences.
- Predictions.
- Confrontations.
- Process descriptions.

Therapist approves or agrees with clients actions or evaluations (APPROVAL)

Approval includes the therapist’s verbalizations which suggest an appraisal or judgment which are favorable to the client’s actions, thoughts, characteristics or evaluations. Verbalizations of approval aim at the client’s actions or specific traits and portray the therapist as someone who can select and strengthen aspects of that client’s behavior that would be more or less suitable. It differs from the Empathy category, as this category has an unconscious character and does not involve appraisal or judgment.

- Compliments or positive feedback about the client.
- Description of therapeutic gain.
- Verbalizations of concordance.
- Pseudodiscordance.
- Reporting positive feelings.
- Exclamations and expressions of approval.
- Appreciation.

T: Perhaps your problem isn’t motivation, but that things have not worked out well so far.
T: You berate yourself for not improving your sexual performance with your husband, but the way you describe sexual intercourse shows that it is not pleasant to you.
T: The impression I get is that whenever he pays you a compliment it is followed by some criticism, such as "Your performance on the presentation was great, but..."
T: This is a doubt that haunts you, isn’t it? Whether the fact he has been changing has to do with you or with the pregnancy.
T: Do you realize that your obsessions are always related to situations in which you act out of impulse with others and then regret it? Then you obsess about what could have been different.
T: You seem more anxious than depressed.
T: What you have just described is known by psychiatry as Obsessive Compulsive Disorder.
T: The test indicates you have a broader interest for professions related to provide care and services to people.
T: So, you suffer from alcohol dependence. You must be starting to drink during the morning, I assume.
T: When you said you had a headache it reminded me of those women that when are about to have sex say "Oh, I can’t, I’ve got a headache".
T: I imagine that he was extremely kind for the first weeks and then...
T: I believe that the next thing that he is going to do is ask you out.
T: You were just telling me how your husband does not give any attention to you, but now you are telling me a number of things that he does for you.
C: Why is it that things hold off, you get it?
T: Yeah, I know, and this is not anything recent, right? Things have been getting from bad to worse for some time. I don’t know, I think that nowadays you might even be coping with this in a better way.
T: You’ve made the right decision. You are coping so well with this!
T: What a gorgeous necklace!
T: Wow, I remember when we started working together. Things have changed so much! Remember how difficult it was for you to come here on your own? And now you’re getting by on your own, much more at ease. I think that is so great!
T: For sure! You are absolutely right.
C: I only got better because of the medication. T: The medication can in fact help, but if you had not taken action, everything would be the same.
T: I really enjoyed our session today. I think we covered very important matters.
T: You have really good conversation skills! It’s very pleasant talking to you.
C: I managed to pick up my math grade. T: That’s awesome!
C: No, you are one of the responsible people for that. You know how to guide me, because I acquiring a better sense of judgment, I am trying to find my path.
T: How great, thank you, I’m very glad.
**Therapist disapproves of or disagrees with client’s actions or assessments (DISAPPROVAL)**

Unfavorable assessment or judgment. Disapproval includes the therapist’s verbalizations that suggest an unfavorable evaluation or judgment regarding the client’s actions, thoughts, characteristics or assessments. Like the Approval category, verbalizations of Disapproval aim at the client’s actions or specific characteristics and presuppose the therapist as someone who can select aspects of the client’s behavior which would be more or less appropriate. In the clinical literature, Disapproval has often been associated with aversive interactions in psychotherapy which may threaten the maintenance of the therapeutic relation.

- Discordance.
- Criticism.
- Irony.
- Threats.
- Challenging self-revelations.
- Accounts of negative feelings.

T: I don’t think that’s how things work.
T: Things are a whole lot simpler than the way you describe them.
T: I think you do some things well, such as supervising, monitoring (APPR); however, you sometimes get sucked into an ascending punishment, punishment, punishment mode (DISAPP).
T: You actually think that your mother should allow you a curfew in which you can return home whenever you want?
T: I’m going to get a cup of coffee. I’m lost.
T: I think people make choices. You can choose to keep avoiding choosing a profession… There are several people who choose to be stay-at-home wives and do their husbands’ laundry for the rest of their lives.
T: You mean to tell me that you let him sleep in your bed and that he wets it every night? [Laughter]
T: When I chose to leave my parent’s house I was fully aware that I would have to make my own living as opposed to keep waiting for my parents to help me.
T: I’ll keep seeing you because it means a challenge for me, but I didn’t like you.
T: I get very irritated when you talk to me like that, and when that happens I feel like treating you in the same way.
T: I want to help you, but it is impossible to make any progress with your recurrent tardiness. What can we do in 10 minute sessions?

**Other verbalizations from therapist (OTHERS THERAPIST VOCAL)**

Therapist’s verbalizations which are not classifiable on the latter categories.

- Therapist’s verbalizations which are not classifiable in the latter categories.
- Occasional successes.
- Recovering a topic of discussion.
- Personal opinions of external events.

T: Would you like some candy?
T: Hold on a minute. I will ask the secretary for some water.
T: Sorry, I forgot to switch off my mobile. T: So, next week we are going to have our session on Tuesday, from 3:00 to 4:00 p.m.
C: Where was I again? You were talking about your holiday trip. T [after interruption of the session] So, you were telling my about your fear of sitting the university entrance test.
C: I was really shocked with that scene.
T: I believe television should not show that kind of thing. It does not add anything to people’s lives.
Not an example: T: I think that you could in fact have bought the book. After all, it is your money, isn’t it? [REC]
Not a personal opinion about external events verbalization, as it refers to the client’s behavior.

**Therapist remains silent (SILENCE THERAPIST)**

This category must be selected when the therapist’s verbal response ends and the same speaker does not engage in a new verbal response.

Verbal non-vocal responses: These categories should be employed only when video footage data is available; otherwise, these categories may be suppressed from the system.

The definition of non-vocal communication comprises the motor responses, gestures or facial expressions that commonly substitute verbalizations, that is, actions for which there is a shared comprehension between speaker and listener. Communicative gesture and facial expressions are considered as non-vocal communication only in the absence of any sort of verbalization, and clearly consist of interaction signals in the client-therapist interaction. These are classifiable through the following categories, which have an analogous function to their corresponding verbal categories.
Non-vocal responses of facilitation / concordance (THERAPIST CONCORDANCE)

Therapist’s gesture or facial expressions related to concordance, approval or comprehension regarding the interlocutor’s speech and which occur as clear signs of the therapist-client interaction.

- Nodding: Signaling “yes” with head movement - suggesting both concordance and interest in the interlocutor’s action and/or requesting continuity of the interlocutor’s speech.
- Gestures of approval: consist in, for example, raising the thumb while the other fingers remain curled (e.g., “thumbs up”, “ok”).
- Concordant facial gesture or expressions of approval.
- Facial gesture or expressions which demonstrate concordance with the other speaker or which suggest care/welcoming.
- Facial gesture or expressions which demonstrate disapproval or worry: gesture or expressions of disapproval or worry, but which suggest either concordance regarding one of the interlocutor’s verbalization or care/welcoming.

Non-vocal responses of discordance (THERAPIST DISCORDANCE)

Therapist’s gesture or facial expressions related to opposition, disbelief or disapproval regarding the interlocutor’s action or verbalization and which occur as clear signs of the therapist-client interaction.

- Shaking movement with the head: Signaling “no” with head movement, suggesting discordance or disapproval regarding the interlocutor’s speech or action.
- Hand gesture: gesture using the hand palm directed at the interlocutor, suggesting full stop or interruption in the ongoing verbalization or action.
- Gesture or facial expressions of disapproval, denial or worry: gestures or expressions which demonstrate discordance, disbelief or disapproval regarding the interlocutor’s speech or action.

Non-vocal responses of enquiry, order, command or incentive (THERAPIST COMMAND)

Therapist’s gesture or facial expressions related to an enquiry, order or incentive, or which suggest some level of client constraint, ordering or organization of the session’s environment. Must occur as clear signs of the therapist-client interaction.

- Any sort of hand or head gesture pointing to or indicating an object or event: suggesting that the client ought to look at or fetch an object, or that he/she should look at the indicated point.
- Outstretched hand gesture: gesture using the hand palm directed at the interlocutor, suggesting full stop or interruption in the ongoing verbalization or action.
- Gesture or expressions of enquiry, order, command or incentive: Gesture or facial expressions that suggest enquiry, order, command or incentive regarding the client’s action or verbalization.

Other non-vocal responses (THERAPIST GESTURE)

Forms of gesture which are not included in the latter categories

- Therapist’s motor responses, gesture or facial expressions that occur in the absence of any verbalization, and for which the meaning is not covered by the latter categories. Must occur as clear signs of the therapist-client interaction.

Insufficient record (THERAPIST INSUFFICIENT)

Any sort of therapist action which is unidentifiable due to problems in the audio or video records.

- Verbalizations are categorized as Therapist Insufficient only when they cannot be identified, even after they have been observed for three times. The Therapist Insufficient category comprises the therapist’s lines which have been interrupted, and for which the identifiable content is not thorough enough for categorization in one of the latter categories.

Table 4

<table>
<thead>
<tr>
<th>Measure</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duration (seconds) of agreements</td>
<td>1749,07</td>
</tr>
<tr>
<td>Duration (seconds) of disagreements</td>
<td>50,93</td>
</tr>
<tr>
<td>Percentage of agreements</td>
<td>97,17</td>
</tr>
<tr>
<td>Index of agreement</td>
<td>0,97</td>
</tr>
<tr>
<td>Kappa coefficient</td>
<td>0,84</td>
</tr>
</tbody>
</table>
As per illustrated on Table 4, high indexes of agreement are demonstrated amongst the observers regarding the therapist's categories. As Observer 3 carried out the categorization based only on the observer training (and the occasional consultation to the manual), the training (along with the manual) can be considered as adequately carrying out its role and the categories and definitions can be regarded as sufficiently precise.

Discussion

The choice of furthering the study of the categorization of events, and even of developing a new system stems from the identification of some inconsistencies found on the research literature, both at a national and an international level. These inconsistencies particularly refer to: the vast diversity of categories and definitions employed; the lack of systems that encompass non-vocal behavior and the reduced applicability of part of the systems which have been developed so far for the study of behavior analytic therapy.

Find below some features of this therapeutic treatment modality which were considered while developing the system: (a) an emphasis on the consequences of behavior within a therapy session, hence the proposal of differentiating the types of feedback provided by the therapist as a form of Facilitation, Empathy, Approval and Disapproval. (b) the coexistence in literature of the approach of prescriptive-centered therapeutic proposals – included in the categories of Information, Recommendation, Approval and Disapproval- and reflexive-centered therapeutic proposals – included in the system through the categories of Solicitation of Reflection and Interpretation; (c) the importance ascribed to the therapeutic relation when conducting an intervention within the approach – some indicators for the establishment and maintenance of this item are found in the categories of Facilitation, Empathy, Approval, Disapproval as well as in some non-vocal categories.

The construction of the given system of categorization stemmed from an extensive analysis of the literature of different fields, which includes the study of the clinical research carried out thus far and the literature concerning the behavior analytic therapist training; moreover it includes the methodology texts for the development of instruments of observation. In addition to this bibliographical survey, an analysis of the existing categorization systems was developed, with a focus on identifying the relevant elements within the therapeutic interaction.

Furthermore, the construction of the system of categorization was based not only on the systems that had been previously studied, but also through repeated attempts of categorization of the therapy sessions – transcriptions of sessions used in previous studies and video recordings specially made for this research. During the system's development, several consultations were made with groups of researchers who had accompanied and discussed the categorization criteria involved. Considering all of these aspects, it can be affirmed that the first step (i.e., consolidation of a theoretical-clinical foundation) proposed by Rice and Kerr (1986) was accomplished.

Regarding the definitions that were drawn up, each element that forms the categories has been specified with label suggestions for its subcategories (should the researcher be interested), along with several examples for each one of them (it's worth to bear in mind that establishing mutually exclusive subcategories was not part of the proposals found in the work of Zamignani, 2007; thus, no testing following this approach was carried out). As for the descriptive character of the instrument, attention was paid so as to establish categories that required a minimum level of inference. Although the categories proposed are not exclusively of a topographic nature, their definition establishes a series of formal criteria that guide their identification. It can be affirmed that the second step (i.e., the specification of the elements through which the behaviors belonging to each class can be recognized) proposed by Rice and Kerr (1986) has also been accomplished.

An agreement study between observers – concerning the Therapist's Verbal Categories System – was carried out, providing substantially consistent data and a high level of agreement between the different observers. Thus, this criterion was accomplished. However, this data does not disregard the necessity of new agreement studies of other sets of sessions to be carried out. The creation of an extensive systematized training process has proved to
be a profitable endeavor, as it has produced a good index of agreements in the therapist's, researcher's and Observer 3's categories – Observer 3 was exclusively exposed to the systematized training. The necessity of new verifications of agreement deserve a mention, particularly the need for a test in which both observers have been trained exclusively through the training software.

Zamignani's work (2007) focused on applying the set of developed categories to different therapeutic sessions. This focus was kept on this research since the initial steps – both on the study of consultation sessions of former research projects and on the development of this research. It is important to add that, although Zamignani's work (2007) has not been the object of study on this article, it accounts for the inclusion of a study of the application of categories to a set of therapeutic sessions which constitutes part of it. Besides, several articles have investigated therapeutic sessions by employing a preliminary or definite version of the systems of categories for the therapist's verbal vocal responses (Amaral, 2010; Araldi & Martins, 2005; Baldivia & Souza, 2006; Barros & Bistocchi, 2005; Carvalho & Henrique, 2005; Donadone, 2009; Kameyama, 2011; Lima & Lopes, 2006; Oshiro, 2011; Pinto, 2007; Rocha, 2008; Rossi, 2011; Rubba & Leite, 2006; Sadi, 2010; Xavier, 2010).

Moreover, other authors have adapted the system categories for the study of different modalities of therapy sessions, such as children behavior analytic therapy (Del Prette, 2006), psychoeducational group therapy (Silveira, 2007) and couple behavior analytic therapy (Marques, 2009). The data gathered through these studies were employed while perfecting the categorization system; what's more, they have pointed out the system's adequacy in the study of different matters concerning clinical research, thus providing some evidence of apparent validity for the categories developed throughout this article. However, it is important to consider that more studies are necessary in order to demonstrate its applicability in different intervention modalities, which can eventually lead to its development. A more detailed study of the subcategories would also be necessary so as to provide both a more precise description of each one of them and the development of eventual differentiation criteria amongst them. In addition, the use of subcategories may be necessary in the study of some research matters.

Another piece of evidence of validity in the given instrument underlies its construction process. Similarly to the path traced by Hill (1986), the development of this system of categorization was based on a careful study of other systems, whose categories were later reorganized into a new scheme. According to the author, this process assures a type of content validity. It is adamant to keep in mind that although this evidence is an element which adds consistency, it is not sufficient in order to establish safely the instrument's validity. Thus, evidence from new studies can strengthen this indicator of validity. New studies could follow the recommendation of Rice e Kerr (1986) that the categorization should be associated with the process results.

Greenberg and Pinsof (1986) affirmed on a review article in the field of psychotherapy process research that the lack of a “psychotherapy microtheory” has hindered the development of research on this field. According to the authors, this theory should be sufficiently clear so as to specify what should occur and at which different steps of development this should occur. What's more, this clarification would point out the different relations amongst the various processes that occur at specific points, inside and outside of the therapy session. This microtheory would establish a basis on which the researchers could seek for support when they come across a need for specifying when and where focus on throughout the course of one's therapy. This would allow the identification of patterns of change which occur throughout the therapeutic process.

The SiMCCIT was developed with a focus on the study of the behavior analytic therapy interaction. The sessions in this modality of psychotherapy formed the basis for the system's organization and for the development of its underlying category definitions. A category application exercise was conducted by Zamignani (2007) on the different steps

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7 The term apparent validity has to do with how far the system of observation seems to measure what it proposes itself to measure (Suen & Ary, 1989).
of the behavior analytic process. The developed categories proved to be useful on the description of patterns of interaction that occur at different moments of the therapeutic process. During the initial sessions, the identification of behavior related to the data collection (categories of Information Request, Solicitation of Reflection and Facilitation); the establishment of contract (Information) and the initial feedback (Interpretation and Information) takes place. Behavior concerning the therapeutic relation and the establishment of a therapeutic alliance is identified in the categories of Empathy, Facilitation and Approval, while events which can risk such relation are identified mainly in the categories of Disapproval, but can be identified in other categories, depending on the sequence of events.

The system also predicts the detection of therapist’s behavior involved in different intervention styles which may be typical of behavior analytic therapy. Directive interventions can be identified in the categories of Recommendation, Information, Approval and Disapproval, while the categories of Solicitation of Reflection and Interpretation are more typical of reflexive interventions. The non-vocal categories were created bearing in mind the typical actions in the therapeutic process which are not followed by vocal responses (gestures of Approval, Disapproval and Command). The system also relies on residual categories which may be employed on an incomprehensible fragment of a recording (Insufficient Record) or on behaviors which are not included in the other categories (Others Therapist).

The SiMCCIT was applied on this study through the software *The Observer*, which allows the categorization to take place through the data present on video footage. However, the system has been applied on research using the sessions transcription – on which case the transcription notes regarding the non-vocal categories (e.g., Del Pretté, 2006) and the record sheets containing the time intervals (Amaral, 2010) were either suppressed or included. In both cases, employing the system proved to be a feasible endeavor.

Over the past decades, many authors (e.g., Dougher, 1999; Hayes, 1987; Kohlenberg & Tsai, 1991; Pérez Álvarez, 1996) have made advances in developing a description of the processes which occur within the behavior analytic based therapy, particularly on the client-therapist interaction within the verbal therapy\(^8\). The system hereby presented aims at contributing to the advances in this area, allowing a comparison between the data of different research projects. Moreover, a more thorough collection of data may allow the identification of more subtle patterns - which would scarcely be possible through smaller collections of data. Although there is an emphasis on the behavior analytic processes here, it is believed that the phenomena pointed out by the categories that compose the SiMCCIT may also be identified in therapies of different approaches, perhaps with higher or lower emphasis on some of the classes of behavior.

The possibility for employing the SiMCCIT on the therapist’s basic abilities training also deserves a mention. Its construction process only had the description of the therapeutic process for research purposes in mind (therefore, it did not aim at developing prescriptive categories, designed for therapist training). However, the very organization of the system of categories points out the events which are relevant for the behavior analytic therapy. This has allowed the use of the software on the training of observers as an auxiliary resource for training behavior analytic therapists.

We believe that SiMCCIT may fill in an important methodological gap in the development of process research in Brazil; Firstly, because of its minute description of the therapist’s behavior; Secondly, due to the fact that it was built from a broad survey of behaviors within the therapy session; and thirdly, because it provides the researcher with a broad and user-friendly catalog for the study of different matters regarding the therapeutic process.

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\(^8\) A large part of the interaction which occurs in psychotherapy is eminently verbal (Pérez Álvarez, 1996) and the investigation of the therapeutic process must necessarily follow a comprehension of the verbal processes and their interaction with the non-verbal events that occur throughout the therapy process.
References


